

Fourier transform of subanalytic functions

Georges COMTE

Savoie Mont Blanc University - UMR CNRS 5127

joint with R. CLUCKERS, D. MILLER, J.-P. ROLIN, T. SERVI

2nd June 2018

General motivation: logic & geometry vs integration

Study

$$\mathbb{R}^m \supset X \ni x \mapsto \mathcal{I}(x) = \int_{y \in \mathbb{R}^n} f(x, y) \, dy,$$

when f belongs to a certain class of tame functions, to understand how the integration process destroys or preserves the geometric properties of f .

Strategy. Prepare the function f on cells and deal with the asymptotics.

A possible framework: a class of functions built on subanalytic functions to use the Parusiński/Lion-Rolin preparation theorem.

Specific motivation: oscillatory integrals

$$\mathbb{R}^m \supset x \mapsto \mathcal{I}(x) = \int_{y \in \mathbb{R}^n} e^{i\varphi(x,y)} f(x,y) dy.$$

Example 1. $m = 1$ and $\mathcal{I}(x) = \int_{y \in \mathbb{R}^n} e^{ix\phi(y)} f(y) dy$.

- *Phase* ϕ : analytic, $\phi(0) = 0$, $0 \in \mathbb{R}^n$ an isolated singularity ϕ ,
- *Amplitude* f : \mathcal{C}^∞ with compact support.

In singularity theory. (Arnol'd-Gusein-Zade-Varchenko, Malgrange, E. M. Stein...) Asymptotics of $\mathcal{I}(x)$ when $x \rightarrow +\infty$ vs singularity of ϕ . After resolution of singularities of ϕ :

$$\exists r \in \mathbb{N}^*, \quad \mathcal{I}(x) \underset{x \rightarrow +\infty}{\sim} \sum_{p \in \mathbb{N}^*} x^{-p/r} \sum_{k=0}^{n-1} a_{p,k} \log^k x.$$

$a_{p,k} \neq 0 \iff e^{2i\pi(\frac{p}{r}-1)}$ eigenvalue of the monodromy of ϕ of mult. $\geq k+1$.

Example 2. Parametric Fourier transform

$$\widehat{f}(x) = \int_{y \in \mathbb{R}^n} e^{-2\pi i(x \cdot y)} f(x,y) dy.$$

Subanalytic sets and functions

Subanalytic sets. $\mathcal{S} = (\mathcal{S}_n)_{n \in \mathbb{N}}$ minimal s.t.

- $\mathbb{R}^n \in \mathcal{S}_n \subset \mathcal{P}(\mathbb{R}^n)$,
- \mathcal{S}_n contains all algebraic sets and is stable under finite union and complement,
- \mathcal{S} stable under projection $\mathbb{R}^n \times \mathbb{R} \rightarrow \mathbb{R}^n$ and product,
- \mathcal{S}_{n+1} contains all graphs of restricted analytic functions $f : [-1, 1]^n \rightarrow \mathbb{R}$.

Examples. $[1, +\infty[\ni x \mapsto \log(1 + \frac{1}{x}) \in \mathcal{S}_2$, $y = \sin x$, $y = \log x \notin \mathcal{S}_2$.

Subanalytic functions. Functions with s.a. graph.

$$X \text{ s.a.} \subset \mathbb{R}^n, \quad \mathcal{S}(X) := \{f : X \rightarrow \mathbb{R}; f \text{ s.a.}\}.$$

Remark. $f \text{ s.a.} \implies \exists p \in \mathbb{Q}, a \in \mathbb{R}, f(x) \sim_{\infty} ax^p$.

Theorem (Parusiński, Lion-Rolin).

- s.a. sets split in a finite number of analytic cells,
- s.a. functions can be prepared on such cells:

$$f(x, y) = (y - \theta(x))^q h(x) u(x, y).$$

What is already known?

Basic question ($\varphi = 0$): Class of \mathcal{I} when $f \in \mathcal{S}(X \times \mathbb{R}^n)$?

Notation.

- For $X \subseteq \mathbb{R}^m$ and $f : X \times \mathbb{R}^n \rightarrow \mathbb{R}$ denote

$$\text{Int}(f) := \{x \in X ; y \mapsto f(x, y) \in L^1(\mathbb{R}^n)\}.$$

- For $X \subseteq \mathbb{R}^m$ s.a. algebra of “log-s.a. functions”

$$\mathcal{C}(X) := \mathbb{R}\text{-algebra spanned by } \{g, \log h : g, h \in \mathcal{S}(X), h > 0\}$$

$$\sum_{j \leq J} g_j \prod_{k \leq K} \log h_{j,k}.$$

Theorem (Lion-Rolin, 1998 + C-Lion-Rolin, 2000).

$$f \in \mathcal{S}(X \times \mathbb{R}^n) \implies \text{Int}(f) \text{ is s.a. and } \mathcal{I} \in \mathcal{C}(X).$$

Next question. Stability of the integration process, starting from s.a. functions?

Theorem (Cluckers-D. Miller, 2011).

$$f \in \mathcal{C}(X \times \mathbb{R}^n) \implies \text{Int}(f) = v^{-1}(0) \text{ where } v \in \mathcal{C}(X) \text{ and } \mathcal{I} \in \mathcal{C}(X).$$

s.a. and oscillatory functions

We now introduce in the game oscillatory functions (ie $\varphi \neq 0$).

Notation. For $X \subseteq \mathbb{R}^m$ s.a.

- $\mathcal{D}(X) := \mathbb{C}$ -algebra spanned by $\mathcal{C}(X)$ and $\left\{ e^{i\varphi(x)} : \varphi \in \mathcal{S}(X) \right\}$.

Question. $f \in \mathcal{D}(X \times \mathbb{R}^n) \stackrel{?}{\Rightarrow} \mathcal{I} \in \mathcal{D}(X)$.

NO: \mathcal{D} is not stable under integration.

Example. If \mathcal{D} was stable by \mathcal{I} then $e^{-|y|} = \widehat{f}(y) \in \mathcal{D}$, $f(x) = \frac{2}{1+4\pi^2 x^2}$.
But functions in \mathcal{D} have convergent asymptotic developments of the form
(by s.a. preparation)

$$e^{-|y|} = \sum_{n \geq m} S_n(y) y^{r_n} \log^{s_n} y, \quad r_n, s_n \in \mathbb{Q}, \quad r_n, s_n \searrow$$

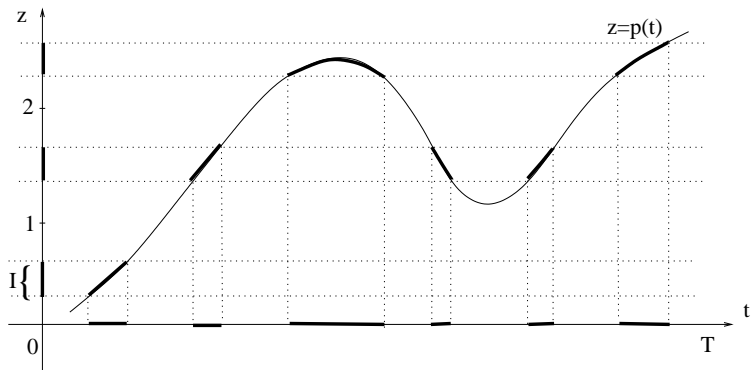
where S_n is a linear combination of J terms $e^{ip_j(y^{1/d})}$, p_j polynomial.
This implies $S_m(y) \xrightarrow{x \rightarrow +\infty} 0$. Contradiction, because:

Fact. $S_m \neq 0$ then $S_m(y)$ does not go to 0 when $y \rightarrow +\infty$, but oscillates.

c.u.d. mod 1 maps

Definition (H. Weyl). A map $(p_j)_{j \leq J} : \mathbb{R} \rightarrow \mathbb{R}^J$ is **continuously uniformly distributed mod 1 (c.u.d. mod. 1)** if:

$$\forall I \subset [0, 1]^J, \quad \lim_{T \rightarrow +\infty} \frac{\text{Vol}\{t \in [0, T]; \{p(t)\} \in I\}}{T} = \text{Vol}(I).$$



c.u.d. mod 1 maps

Proposition.

- p_j distinct polynomials, $p_j(0) = 0 \implies (p_j(y^{1/d}))_{j \leq J}$ is c.u.d. mod. 1,
- $S(y)$ linear comb. of $e^{ip_j(y^{1/d})} \implies \exists \varepsilon > 0$ s.t. $\int_{|S| \geq \varepsilon} \frac{dy}{y} = +\infty$.

\implies Fact from the previous slide:

$S_m(y) = \sum_{j \leq J} c_j e^{ip_j(y^{1/d})}$ does not go to 0 when $y \rightarrow +\infty$. \square

Uniform c.u.d. mod 1 maps

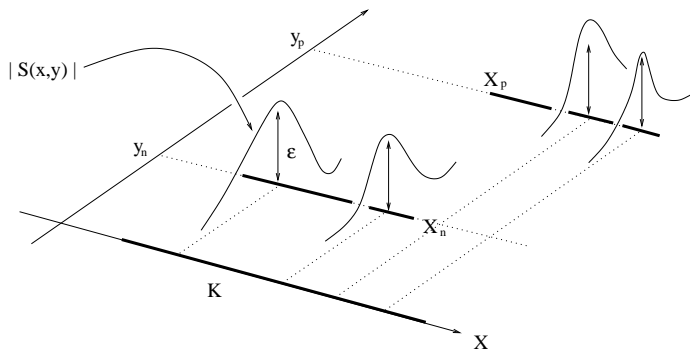
Definition. A family of maps $(p_j(x, y))_{j \leq J} : X \times \mathbb{R} \rightarrow \mathbb{R}^J$ is **c.u.d. mod 1** if:

$$\forall I \subset [0, 1[^J, \quad \lim_{T \rightarrow +\infty} \sup_{x \in X} \frac{\text{Vol}\{t \in [0, T]; \{p(x, t)\} \in I\}}{T} = \text{Vol}(I).$$

Proposition. Denoting $S(x, y) := \sum_{j \leq J} f_j(x) e^{ip_j(x, y)}$

- For $p_j(x, y)_{j \leq J}$ c.u.d. mod. 1

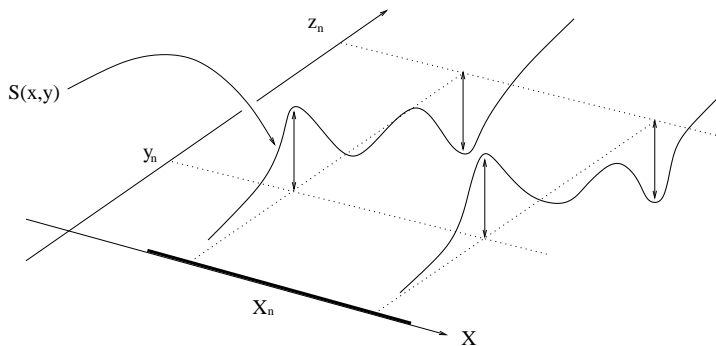
$$\left. \begin{array}{l} \exists \varepsilon > 0, \exists \alpha > 0, \exists K \text{ compact } \subset X, \\ \exists X_n \subset K, \text{Vol}(X_n) > \alpha, \exists y_n \rightarrow +\infty, \end{array} \right\} \implies \forall x \in X_n, |S(x, y_n)| \geq \varepsilon.$$



Proposition. Denoting $S(x, y) := \sum_{j \leq J} f_j(x) e^{ip_j(x, y)}$,

- $p_j(x, y)_{j \leq J}$ c.u.d. mod. 1 \implies

$$\left. \begin{array}{l} \exists \varepsilon > 0, \exists \alpha > 0, \exists K \text{ compact } \subset X, \\ \exists X_n \subset K, \text{Vol}(X_n) > \alpha, \\ \exists y_n \rightarrow +\infty, \exists z_n \rightarrow +\infty \end{array} \right\} \implies \forall x \in X_n, |S(x, y_n) - S(x, z_n)| \geq \varepsilon.$$



Transcendental elements

Find out functions to add to \mathcal{D} to describe the smallest algebra stable by integration and containing \mathcal{D} .

$$\gamma_{h,\ell}(x) = \int_{\mathbb{R}} h(x,t) \log^{\ell} |t| e^{it} dt,$$

$\ell \in \mathbb{N}$, $h \in \mathcal{S}(X \times \mathbb{R})$, $h(x, \cdot) \in L^1(\mathbb{R})$.

Notation. For X s.-a.

- $\mathcal{E}(X) := \mathcal{D}(X)$ -*module* spanned by $\{\gamma_{h,\ell}\}_{h,\ell}$.

Stability Theorem. $f \in \mathcal{E}(X \times \mathbb{R}^n) \implies$ there exist $h, F \in \mathcal{E}(X)$ s.t.

1. $\text{Int}(f) = h^{-1}(0)$,
2. $\forall x \in \text{Int}(f)$, $F(x) = \int_{\mathbb{R}^n} f(x,y) dy$.

Corollary. $\mathcal{E}(X)$ is a \mathbb{C} -algebra.

Proof of the Corollary. Fubini:

$\gamma_{h,\ell}(x) \cdot \gamma_{h',\ell'}(x) = \iint_{\mathbb{R}^2} h(x,t) \cdot h'(x,t') \cdot \log^{\ell} |t| \cdot \log^{\ell'} |t'| e^{i(t+t')}$ $dt dt'$
 $\in \mathcal{S}(\mathcal{D}) = \mathcal{E}(X)$ by the Stability Theorem. \square

Corollary.

1. \mathcal{E} is the smallest collection of \mathbb{C} -algebras containing $\mathcal{S} \cup \{e^{i\varphi} : \varphi \in \mathcal{S}\}$ and stable under integration. \square
2. In particular \mathcal{E} is stable under Fourier transform. \square

Generators of \mathcal{E}

Rem. An element of $\mathcal{E}(X \times \mathbb{R}^n)$ is a finite sum of **generators** of type

$$\boxed{T(x, y) = f(x, y) \cdot e^{i\varphi(x, y)} \cdot \gamma(x, y)} \text{ where}$$

$$f \in \mathcal{C}(X \times \mathbb{R}^n), \varphi \in \mathcal{S}(X \times \mathbb{R}^n) \text{ et } \gamma(x, y) = \int_{\mathbb{R}} h(x, y, t) \log^\ell |t| e^{it} dt.$$

Def. A generator $T(x, y) \in \mathcal{E}(X \times \mathbb{R}^n)$ is **super-integrable** when

$$y \mapsto |f(x, y)| \int_{\mathbb{R}} |h(x, y, t) \log^\ell |t|| dt \in L^1(\mathbb{R}^n).$$

Proposition. $T \in \mathcal{E}(X \times \mathbb{R}^n)$ super-integrable $\implies \mathcal{I}_T \in \mathcal{E}(X)$.

Proof. By Fubini:

$$\int_{\mathbb{R}^n} T(x, y) dy = \iint_{\mathbb{R}^{n+1}} f(x, y) h(x, y, t) \log^\ell |t| e^{i(t+\varphi(x, y))} dy dt.$$

Hence we assume $T = f(x, y) e^{i\varphi(x, y)} \in \mathcal{D}(X \times \mathbb{R}^n)$.

On cells, change of variables : $z = \varphi(x, y)$ and prepare w.r.t. z to obtain a factor γ . \square

Key step: splitting elements of $\mathcal{E}(X \times \mathbb{R})$

Splitting Lemma. For $f \in \mathcal{E}(X \times \mathbb{R})$, on cells of $X \times \mathbb{R}$, there exist two finite sets of indices $J^{\text{Super}}, J^{\text{Bad}} \subseteq \mathbb{N}$ and generators S_j, B_j s.t.

$$f = \sum_{j \in J^{\text{Super}}} S_j + \sum_{j \in J^{\text{Bad}}} B_j,$$

- S_j super-integrable,
- $B_j = f_j(x) y^{r_j} \log^{s_j} y e^{i p_j(x, y^{1/d})}$, p_j polynomials, $r_j \geq -1$

Proof of the splitting Lemma. Expand h as a power series in $y^{1/d}$, then integrate by parts several times to create S_j and B_j . \square

Proof of the Stability Theorem.

Fact : $x \in \text{Int} \left(\sum_{j \in J^{\text{Bad}}} B_j, X \right) \implies \forall j \in J^{\text{Bad}}, f_j(x) = 0.$

$$\int_{\mathbb{R}} f(x, y) dy = \sum_{j \in J^{\text{Super}}} \int_{\mathbb{R}} S_j dy \in \mathcal{E}(X).$$

The case $n > 1$ by induction on n and Fubini. \square

Fact: $x \in \text{Int} \left(\sum_{j \in J^{\text{Bad}}} B_j, X \right) \implies \forall j \in J^{\text{Bad}}, f_j(x) = 0.$

Proof. $B_j(y) = y^{r_j} \log^{s_j} y f_j(x) e^{ip_j(y^{1/d})}$, p_j distinct polynomials.

Let $S(y) = \sum_{j \in J^{\text{Bad}}} f_j(x) e^{ip_j(y^{1/d})}$. Since $y^{r_j} (\log y)^{s_j} \geq y^{-1}$, one has

$$\int_{\mathbb{R}^+} \left| \sum_{j \in J^{\text{Bad}}} B_j(y) \right| dy \geq \int_{\mathbb{R}^+} \frac{1}{y} |S(y)| dy \geq \varepsilon \int_{|S| \geq \varepsilon} \frac{dy}{y} = +\infty. \quad \square$$

L^p -completeness and L^2 -Fourier transform

“ L^p -completeness” Theorem. For $p \geq 1$, $(f_y(x))_{y \in \mathbb{R}} \in \mathcal{E}(X \times \mathbb{R})$ Cauchy in $L^p(X) \implies f_y \xrightarrow[y \rightarrow +\infty]{\|\cdot\|_p} f \in \mathcal{E}(X)$.

Corollary. $\mathcal{F} : \mathcal{E} \cap L^2 \xrightarrow{isom} \mathcal{E} \cap L^2$. \square

Proof of the L^p -completeness Theorem.

$(f_y(x))_{y \in \mathbb{R}}$ Cauchy \implies there exists $(y_n)_{n \in \mathbb{N}}$ s.t. $f_{y_n} \xrightarrow[n \rightarrow +\infty]{P.P.} f \in L^p(X)$.

We prove that there exists $g \in \mathcal{E}(X)$ s.t. $f_y \xrightarrow[y \rightarrow +\infty]{P.P.} g$.

One assume X is a cell and

$$f_y(x) = \sum_{j \leq J} y^{r_j} \log^{s_j} y f_j(x) e^{ip_j(x, y^{1/d})},$$

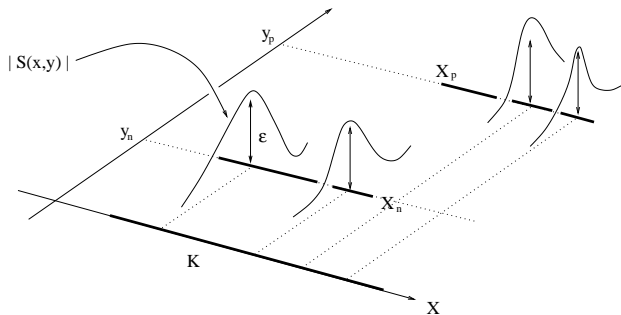
$r_j \geq -1$, $f_j \in \mathcal{E}(X)$, $p_j(x, y)$ polynomials.

Interesting case $\mathbf{r} \geq 0$ or $\mathbf{s} \geq 0$. , where $(\mathbf{r}, \mathbf{s}) := \max_{\text{lex}}(r_j, s_j) \geq 0$.

$$f_y(x) = y^{\mathbf{r}} \log^{\mathbf{s}} y \left[\mathbf{S}(x, y) + \sum_{(r_j, s_j) \neq (\mathbf{r}, \mathbf{s})} y^{r_j - \mathbf{r}} \log^{s_j - \mathbf{s}} y S_j(x, y) \right],$$

$$\mathbf{S}(x, y) = \sum_{(r_j, s_j) = (\mathbf{r}, \mathbf{s})} f_j(x) e^{ip_j(x, y^{1/d})}.$$

$(y \mapsto p_j(x, y^{1/d}))_{(r_j, s_j) = (\mathbf{r}, \mathbf{s})}$ c.u.d. mod. 1 \implies

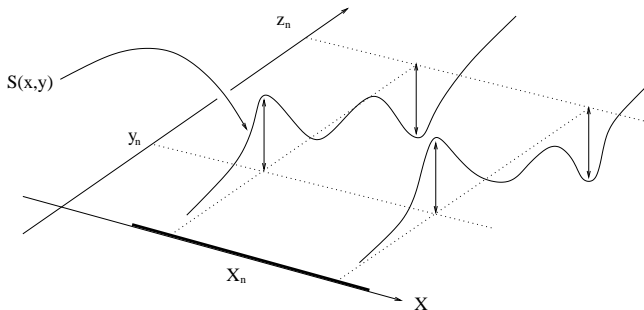


$\implies \mathbf{r} = \mathbf{s} = 0.$

$$f_y(x) = \mathbf{S}(x, y) + \sum_{(r_j, s_j) \neq (\mathbf{r}, \mathbf{s})} y^{r_j} \log^{s_j} y S_j(x, y),$$

$$\mathbf{S}(x, y) = \sum_{(r_j, s_j) = (\mathbf{r}, \mathbf{s})} f_j(x) e^{ip_j(x, y^{1/d})}.$$

$(y \mapsto p_j(x, y^{1/d}))_{(r_j, s_j) = (\mathbf{r}, \mathbf{s})}$ c.u.d. mod. 1 \implies



$\implies (f_y)_y$ cannot be Cauchy : contradiction. \square